

### Overview



For institutions either new to Business Continuity Planning (BCP) or concerned about their level of compliance with the ever changing series of regulations, we offer our high-level Compliance Review. Before initiating such an important undertaking, it is critical to clearly understand the scope, objectives, and desired outcome of the project. This will vary depending on your current and future business strategies, geographical locations, functional units, and essential assets and technology infrastructures involved. It is also based on the “GAP” between your current level of planning and documentation versus the latest FFIEC guidelines. Our accelerated Compliance Review answers these questions and provides a tailored program to place your institution on the right road toward meeting these requirements.

### Value Proposition

Through our unique fixed-fee engagement offering, Orion is able to create a “Compliance Blueprint” tailored to each institution, identifying the specific list of follow-on activities necessary to bridge the GAP between where you are today versus where you need to be for true recoverability and compliance. The results of this engagement will determine whether you need to simply update or validate your existing plan, create a new one, or institute a more stringent testing program.

**Our Approach:** In comparing your current level of planning and documentation against the latest FFIEC Guidelines, we will perform the following activities in developing our “GAP” analysis:

- Provide a series of checklists and questionnaires to be completed by the client.
- Identify the critical processes and assets throughout the institution.
- Review what continuity plans and procedures are in place at the moment.
- Evaluate the level of business continuity and recovery awareness throughout the organization.
- Determine the consensus and commitment to the requirements, implementation and deployment of disaster recovery measures.

Orion’s certified Business Continuity Consultants will then be in a position to compare the results of the above analysis with the current FFIEC guidelines and develop a “Compliance GAP” report for review and approval by the client. This will then form the basis for developing a tailored program for mitigating these deficiencies.