



## Recovery Challenges

As a result of disasters such as 9/11 and Katrina, financial institutions quickly realized that their ability to seamlessly continue their operations involved more than simply having a document that details server configurations and employees emergency contact numbers. To be fully effective, a **Business Continuity Plan (BCP)** must be **comprehensive, enterprise-wide** and **current** in terms of both recovery processes and procedures; be **easily understood** and **executable**, not only in a test environment, but also **in reality**, and, finally; it must satisfy the increasingly stringent **compliance mandates** by the governmental regulators.

## Value Proposition

Through the use of our unique **“BCM OUTSOURCE”** services, you can now mitigate the above risks plus eliminate the extensive time and effort associated with the internal creation and maintenance of such a plan. Leveraging our background and experience in providing business and technology solutions to the financial services industry for over 30 years, we have designed a series of outsourced services to perform all of these functions on your behalf. Rather than offering a “one-size-fits-all” solution, these services are tailored to fit your specific environment and needs, and can be delivered on a one-time or recurring basis.

### Our Approach:

Using a selected set of our **“Accelerator”** evaluation, design, and planning components, our Business Continuity Consultants will first perform a high-level **BCM Compliance Review** to determine your level of disaster readiness, within the recently updated regulatory guidelines provided by the **FFIEC**. This will then enable us to determine your specific follow-on needs in terms of **validating** or **updating** your existing plan, **creating a new plan**, using the latest in automated BCP planning software, developing a tailored series of **testing programs**, which we will perform on your behalf, or providing you with a **3<sup>rd</sup> party certification** that your plan meets or exceeds both the regulatory guidelines and the operational needs of your institution. Ongoing support for all of your BCM needs will then be provided through our monthly **“UTILITY BCM”** Support Program.

**“Enabling optimized BCM Solutions through comprehensive Outsource Services”**



Laws, Regulations, and Guidance



**BCM Compliance Review** – Adhering to the new Business Continuity Planning Guidelines published in 2008 by the FFIEC, our team of **seasoned BCM experts** will conduct a high-level review of your current level of compliance, and, based on our findings, will structure a **remediation program** tailored specifically for your institution. This will be performed on a “**Fixed-Fee**” basis, with results delivered in a matter of weeks.

**BCM Current Plan Update** – If you have an existing plan that simply requires updating or perhaps the inclusion of additional procedures to comply with the FFIEC’s expansion into “**Other Policies, Standards and Processes**”, we will analyze the necessary changes, and provide you with a proposal for our update services. We will then structure an ongoing “**UTILITY BCM**” Support Program, to insure that your plans remain up-to-date, and in compliance with all current or future regulatory changes.

**BCM New Plan Creation** – There may be situations where you don’t currently have an effective Business Continuity Plan, or you are desirous to upgrade to a more fully automated BCM environment. Through our **exclusive partnerships** with leading providers of automated tools and services, we will utilize these “**Best-of-Breed**” solutions to develop a complete BCM program that you can rely on in times of need. Through our monthly “**UTILITY BCM**” Support Program we will also include our **Executive Advisory** and **Training Service**, plus a regular **Testing Program** that we will conduct to insure a continued state of complete readiness.

**BCM Testing & Certification** – As the level of technology required to support your institution and members continues to both expand and become more complex, it is critical to utilize **specialized expertise** in testing each of these recovery procedures. Our program encompasses the **four levels of testing** to include 1) Orientation/Walk-through, 2) Tabletop/Mini-drill, 3) Functional Testing, and 4) Full-scale Testing. Once completed, we will provide you with our monthly “**UTILITY BCM**” Support Program which includes an annual 3<sup>RD</sup> Party **BCM Plan Certification** for your required board review.

**Benefits** – By adopting our unique **BCM Outsourcing Services**, you are able to spend more time on your core competencies associated with the strategic planning and operation of your financial institution, and less time focused on the increasingly complex and technical Business Continuity and Disaster Recovery planning.

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